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# No Suslin trees but a non-special Aronszajn tree exists by a side condition method (compact version)

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## Abstract

Let us fix a weakly Suslin tree  $T^*$  that is upward-absolutely Aronszajn. Let us assume  $2^\omega = \omega_1$  and  $2^{\omega_1} = \omega_2$ . We construct an Aspero-Mota type iterated forcing  $\langle P_\alpha \mid \alpha < \omega_2 \rangle$  and take the direct limit  $P_{\omega_2}^*$  of the  $P_\alpha$ s. In the generic extensions  $V^{P_{\omega_2}^*}$ , we have (1)  $2^\omega = \omega_2$ , (2) every Aronszajn tree gets an uncountable antichain and so no Suslin trees exist, while (3)  $T^*$  remains weakly Suslin and Aronszajn. In particular,  $T^*$  has no specializing maps. The idea of a weakly Suslin tree that is upward-absolutely Aronszajn belongs to a work of S. Shelah. Combinatorics with Aronszajn trees, say, via  $R_{1,\aleph_1}$  is due to T. Yorioka. An iterated forcing method that uses symmetric systems and markers is due to Aspero-Mota. It appears that the construction in this paper is sensitive to the length  $\omega_2$ .

## Introduction

**Definition.** Let  $T^*$  be an  $\omega_1$ -tree à la Kunen. Let  $\theta \geq \omega_2$  be a regular cardinal. We say  $T^*$  is *weakly Suslin* witnessed at  $\theta$ , if

$$\{N \in [H_\theta]^\omega \mid \forall x \in T_{N \cap \omega_1}^* \text{ pushdown } N\}$$

is stationary in  $[H_\theta]^\omega$ . Here  $x$  pushdown  $N$  abbreviates that for any  $A \in N$ , if  $x \in A$ , then there exists  $y <_{T^*} x$  such that  $y \in A$ . We say  $T^*$  is *weakly Suslin*, if there exists a witness  $\theta$  for  $T^*$ .

**Proposition.** (1) If  $T^*$  is weakly Suslin witnessed at  $\theta$ , then for all regular cardinals  $\lambda \geq \theta$ ,

$$\{N \in [H_\lambda]^\omega \mid \forall x \in T_{N \cap \omega_1}^* \text{ pushdown } N\}$$

are stationary in  $[H_\lambda]^\omega$ .

- (2) If  $T^*$  is a Suslin tree, then  $T^*$  is weakly Suslin witnessed at  $\theta = \omega_2$  (with even a club) and (not yet upward-absolutely) Aronszajn.
- (3) If  $T^*$  is weakly Suslin and Aronszajn, then  $T^*$  is an Aronszajn tree with no specializing maps  $f$ . Namely,  $f : T^* \longrightarrow \omega$  such that whenever  $x <_{T^*} y$ , then  $f(x) \neq f(y)$ .

**Lemma.** (S. Shelah) Let  $T^*$  be a Suslin tree. Then there exists a proper poset  $P$  consisting of finite conditions such that  $|P| = \omega_1$  and that  $P$  forces  $\dot{f}$  and  $\dot{h}$  such that

- $\dot{f} : \dot{C} \longrightarrow \omega_1$  such that the domain  $\dot{C}$  is a club in  $\omega_1$  and for all  $i, j \in \dot{C}$ , if  $i < j$ , then  $i \leq \dot{f}(i) < j$ ,
- $\dot{h} : T^*[\text{range}(\dot{f})] \longrightarrow \omega$  such that if  $x <_{T^*} y$ , then  $\dot{h}(x) \neq \dot{h}(y)$ .

Then, in the generic extension, it holds that GCH, if we start with GCH, and that  $T^*$  remains weakly Suslin witnessed at  $\omega_2$  and upward-absolutely Aronszajn.

This sets our ground model  $V$  to start with  $T^*$ . We force  $\omega_2$ -times with an Aspero-Mota type iteration over  $V$ . We iteratively add uncountable antichains to all relevant Aronszajn trees, while preserving  $T^*$  to be weakly Suslin witnessed at  $\omega_2$  and Aronszajn. In particular, we have a consistency of no Suslin trees exist yet a non-special Aronszajn tree exists with  $2^\omega = \omega_2$ , a large continuum. However, we see no generalizations of this construction to longer iterations, say,  $\omega_3$ .

**Question.** Is it possible to form a longer Aspero-Mota type iterated forcing to get a larger continuum with the current combinatorial context ?

### The finite symmetric systems $P_{FAM}$

We use symmetric systems of Aspero-Mota.

**Definition.** ( $2^{\omega_1} = \omega_2$ ) Let  $\Phi : \omega_2 \longrightarrow H_{\omega_2}$  such that for each  $x \in H_{\omega_2}$ ,

$$\{i < \omega_2 \mid \Phi(i) = x\} \nearrow \omega_2.$$

We form a relational structure (i.e. a first-order structure with no functions)

$$(H_{\omega_2}, \in, \Phi).$$

Here,  $\in$  denotes the binary relation  $\in$  on the universe  $H_{\omega_2}$ . We treat  $\Phi$  as a single-valued partial binary relation, namely

$$(H_{\omega_2}, \in, \Phi) \models \text{"}\forall \alpha : \omega_2 \exists ! y \text{ s.t. } \alpha \Phi y\text{"}.$$

**Proposition.** Let  $X = (X, \in \cap (X \times X), \Phi \cap (X \times X))$  be a countable elementary substructure of  $(H_{\omega_2}, \in, \Phi)$ . Then  $X = \{\Phi(i) \mid i \in X \cap \omega_2\}$ , that is denoted by  $\Phi[X \cap \omega_2]$ . Hence

$$X = \Phi[X \cap \omega_2].$$

In particular, if  $0 \neq \alpha \in X \cap \omega_2$ , there exists  $\beta \in X \cap \omega_2$  such that  $\beta < \omega_2$  is the least with  $\Phi(\beta) : \omega_1 \longrightarrow \alpha$  onto.

Let

$$\mathcal{M}^* = \{(X, \in \cap (X \times X), \Phi \cap (X \times X)) \mid (1) X \in [H_{\omega_2}]^{\omega}, (2) (X, \in \cap (X \times X), \Phi \cap (X \times X)) \prec (H_{\omega_2}, \in, \Phi)\}$$

Let  $(X, \in \cap (X \times X), \Phi \cap (X \times X)) \in \mathcal{M}^*$ . Since  $X$  is closed under the function  $\Phi$ , we have

$$\in \cap (X \times X) = \{(x, y) \mid x \in y, x \in X, y \in X\} = \in \cap X,$$

$$\Phi[X = \{(i, \Phi(i)) \mid i \in X\} = \Phi \cap (X \times X) = \Phi \cap X.$$

Hence

$$(X, \in \cap (X \times X), \Phi \cap (X \times X)) = (X, \in \cap (X \times X), \Phi[X] = (X, \in \cap X, \Phi \cap X).$$

We just write  $(X, \in, \Phi)$ ,  $(X, \Phi)$ , or even  $X$  for  $(X, \in \cap (X \times X), \Phi \cap (X \times X)) \in \mathcal{M}^*$ .

We later expand the relational structure  $(H_{\omega_2}, \in, \Phi)$  only by unary relations  $\mathcal{P}, \mathcal{M}$ , and so forth forming

$$(H_{\omega_2}, \in, \Phi, \mathcal{P}, \mathcal{M}, \dots).$$

Let  $(X, \in \cap (X \times X), \Phi \cap (X \times X), \mathcal{P} \cap X, \mathcal{M} \cap X, \dots)$  be an elementary substructure of the expanded structure  $(H_{\omega_2}, \in, \Phi, \mathcal{P}, \mathcal{M}, \dots)$ . Then the shortened structure  $(X, \in \cap (X \times X), \Phi \cap (X \times X))$  is in  $\mathcal{M}^*$ . The converse may not hold.

**Proposition.** Let  $(X_1, \in, \Phi, \mathcal{P}, \mathcal{M}, \dots)$  and  $(X_2, \in, \Phi, \mathcal{P}, \mathcal{M}, \dots)$  be two elementary substructures of a relational structure  $(H_{\omega_2}, \in, \Phi, \mathcal{P}, \mathcal{M}, \dots)$ . Let  $\phi$  be an isomorphism from  $(X_1, \in, \Phi, \mathcal{P}, \mathcal{M}, \dots)$  to  $(X_2, \in, \Phi, \mathcal{P}, \mathcal{M}, \dots)$ . Then  $\phi = \phi_{X_1 X_2}$ , where  $\phi_{X_1 X_2}$  denotes the unique isomorphism from  $(X_1, \in)$  to  $(X_2, \in)$ .

□

There is no guarantee that  $(X_1, \in, \Phi, \mathcal{P}, \mathcal{M}, \dots)$  and  $(X_2, \in, \Phi, \mathcal{P}, \mathcal{M}, \dots)$  are isomorphic, even if  $(X_1, \in, \Phi)$  and  $(X_2, \in, \Phi)$  are isomorphic. Hence, we must employ abbreviations and suppressions to denote substructures with caution.

**Definition.** Let  $X, Y \in \mathcal{M}^*$ . We say  $X$  and  $Y$  enjoy a *finite alternation* (at the level of  $\omega_2$ ), if the following holds.

(fa) $_{\omega_2}$  For any  $\xi \in S_0^2$ , if  $\xi = \bigcup(X \cap \xi)$  and  $\xi = \bigcup(Y \cap \xi)$ , then  $\xi = \bigcup(X \cap Y \cap \xi)$ .

**Notation.** Let  $X, Y \in \mathcal{M}^*$ . We write  $X =_{\omega_1} Y$ , if  $X \cap \omega_1 = Y \cap \omega_1$ . Similarly,  $X <_{\omega_1} Y$ , if  $X \cap \omega_1 < Y \cap \omega_1$ . Also,  $X \leq_{\omega_1} Y$ , if  $X \cap \omega_1 \leq Y \cap \omega_1$ .

**Proposition.** Let  $X, Y \in \mathcal{M}^*$ .

- (1) If  $\eta \in X \cap Y \cap \omega_2$ , then  $X \cap (\eta + 1) = Y \cap (\eta + 1)$ .
- (2) Let  $X$  and  $Y$  enjoy a finite alternation and  $X =_{\omega_1} Y$ . Let  $\xi \in S_0^2$  such that  $\xi = \bigcup(X \cap \xi)$  and  $\xi = \bigcup(Y \cap \xi)$ . Then

$$X \cap \xi = Y \cap \xi.$$

We consider finite symmetric systems of Aspero-Mota that enjoy finite alternations.

**Definition.** Let  $\mathcal{N} \in P_{FAM}$ , if

- (1)  $\mathcal{N}$  is a finite subset of  $\mathcal{M}^*$ .
- (2) If  $X, Y \in \mathcal{N}$  with  $X =_{\omega_1} Y$ , then there exists an isomorphism

$$\varphi_{XY} : (X, \in, \Phi) \longrightarrow (Y, \in, \Phi)$$

that is the identity on the intersection  $X \cap Y$  and  $\phi[\mathcal{N} \cap X] = \mathcal{N} \cap Y$ .

- (3) If  $X, Y \in \mathcal{N}$  with  $X <_{\omega_1} Y$ , then there exists  $Z \in \mathcal{N}$  such that  $X \in Z =_{\omega_1} Y$ .
- (4) If  $X, Y \in \mathcal{N}$  with  $X =_{\omega_1} Y$ , then  $X$  and  $Y$  enjoy a finite alternation at the level of  $\omega_2$ .

**Lemma.** Let  $\mathcal{N} \in P_{FAM}$  and let  $X \in \mathcal{N}$ . Let  $\alpha \in X$  with  $\text{cf}(\alpha) \geq \omega_1$ . Then there exists  $\rho \in X \cap \alpha$  such that for any  $Y \in \mathcal{N}$  with  $Y <_{\omega_1} X$ , it holds that  $X \cap Y \cap \alpha \subset \rho$ .

The above does not need (fa) $_{\omega_2}$ .

**Lemma.** Let  $Y_1, Y_2 \in \mathcal{M}^*$  such that  $Y_1$  and  $Y_2$  are isomorphic, the isomorphism  $\phi = \phi_{Y_1 Y_2} : Y_1 \longrightarrow Y_2$  is the identity on the intersection  $Y_1 \cap Y_2$ , and that  $Y_1$  and  $Y_2$  enjoy a finite alternation. Let  $\mathcal{N} \in P_{FAM}$  with  $\mathcal{N} \in Y_1$ . Then  $\mathcal{N} \cup \phi_{Y_1 Y_2}(\mathcal{N}) \in P_{FAM}$ .

### Expanding relational structures and isomorphisms

We expand the relational structure  $(H_{\omega_2}, \in, \Phi)$  by adding a finitely many sequences  $\langle P_i^1 \mid i < \alpha \rangle, \dots$ , say,  $\langle P_i^{23} \mid i < \alpha \rangle$  of a common length  $\alpha$ . Typically,  $P_i^1$  are forcing posets such that  $P_i^1 \subset H_{\omega_2}$  and that (CH) has the  $\omega_2$ -cc. Typically,  $P_i^2$  are some forcing relations with respect to  $P_i^1$  or sets of countable elementary substructures  $Z$  of  $(H_{\omega_2}, \in, \Phi, \dots)$ . These sequences are made explicit later. We present things with a single sequence for the sake of shortness.

**Notation.** Let  $\langle P_i \mid i < \alpha \rangle$  be a sequence of non-empty subsets of  $H_{\omega_2}$  with  $\alpha < \omega_2$ . We are primarily interested in the initial segments  $\langle P_i \mid i \leq \xi \rangle$  with  $\xi < \alpha$ . We first code the  $P_i$ s as a single subset of  $H_{\omega_2}$  by a standard method. Let

$$\mathcal{P} = \mathcal{P}_{<\alpha} = \langle \langle P_i \mid i < \alpha \rangle \rangle = \{ \langle i, x \rangle \mid i < \alpha, x \in P_i \}.$$

We next form an associated relational structure  $(H_{\omega_2}, \in, \Phi, \mathcal{P})$  that expands  $(H_{\omega_2}, \in, \Phi)$  with the unary relation  $\mathcal{P}$ .

Let  $X \in \mathcal{M}^*$ . We consider elementary substructures  $(X, \in, \Phi, \mathcal{P})$  of the expanded structure  $(H_{\omega_2}, \in, \Phi, \mathcal{P})$ , where we mean

$$(X, \in, \Phi, \mathcal{P}) = (X, \in \cap X, \Phi \cap X, \mathcal{P} \cap X).$$

Let  $\xi < \alpha$  and write  $\mathcal{P}[\xi, \mathcal{P}_{<\xi}]$ , and  $\mathcal{P}_{\leq\xi}$  meaning

$$\mathcal{P}[\xi = \mathcal{P}_{<\xi} = \langle \langle P_i \mid i < \xi \rangle \rangle = \{(i, x) \mid i < \xi, x \in P_i\}.$$

$$\mathcal{P}_{\leq\xi} = \mathcal{P}_{<\xi+1} = \mathcal{P}[(\xi + 1) = \langle \langle P_i \mid i < \xi + 1 \rangle \rangle = \{(i, x) \mid i \leq \xi, x \in P_i\}.$$

We are interested in situations when

$$(X, \in, \Phi, \mathcal{P}_{\leq\xi})$$

is an elementary substructure of

$$(H_{\omega_2}, \in, \Phi, \mathcal{P}_{\leq\xi}).$$

Note that  $(H_{\omega_2}, \in, \Phi, \mathcal{P}_{\leq\xi})$  is interpretable in  $(H_{\omega_2}, \in, \Phi, \mathcal{P})$ . Similarly for  $(H_{\omega_2}, \in, \Phi, \mathcal{P}_{<\xi})$ .

**Proposition.** (1) Let  $\varphi(v_1, \dots, v_n)$  be a formula appropriate for  $(H_{\omega_2}, \in, \Phi, \mathcal{P}_{\leq\xi})$ . Then there is a corresponding formula  $\varphi^*(v, v_1, \dots, v_n)$  such that for all  $x_1, \dots, x_n \in H_{\omega_2}$ , we have

$$(H_{\omega_2}, \in, \Phi, \mathcal{P}_{\leq\xi}) \models \varphi(x_1, \dots, x_n)$$

iff

$$(H_{\omega_2}, \in, \Phi, \mathcal{P}) \models \varphi^*(\xi, x_1, \dots, x_n).$$

(2) Let  $\varphi(v_1, \dots, v_n)$  be a formula appropriate for  $(H_{\omega_2}, \in, \Phi, \mathcal{P}_\xi)$ . Then there is a corresponding formula  $\varphi^{**}(v, v_1, \dots, v_n)$  such that for all  $x_1, \dots, x_n \in H_{\omega_2}$ , we have

$$(H_{\omega_2}, \in, \Phi, \mathcal{P}_\xi) \models \varphi(x_1, \dots, x_n)$$

iff

$$(H_{\omega_2}, \in, \Phi, \mathcal{P}_{\leq\xi}) \models \varphi^{**}(\xi, x_1, \dots, x_n).$$

**Proposition.** Let  $X, X_1, X_2 \in \mathcal{M}^*$ . Let  $\xi < \alpha$  and  $\xi_1 < \xi_2 < \alpha$ .

- (1) If  $(X, \in, \Phi, \mathcal{P}_{\leq\xi})$  is an elementary substructure of  $(H_{\omega_2}, \in, \Phi, \mathcal{P}_{\leq\xi})$ , then  $\xi \in X$ .
- (2) If  $(X, \in, \Phi, \mathcal{P}_{\leq\xi_2})$  is an elementary substructure of  $(H_{\omega_2}, \in, \Phi, \mathcal{P}_{\leq\xi_2})$  and  $\xi_1 \in X$ , then  $(X, \in, \Phi, \mathcal{P}_{\leq\xi_1})$  is an elementary substructure of  $(H_{\omega_2}, \in, \Phi, \mathcal{P}_{\leq\xi_1})$ .
- (3) If  $(X_1, \in, \Phi, \mathcal{P})$  and  $(X_2, \in, \Phi, \mathcal{P})$  are isomorphic elementary substructures of  $(H_{\omega_2}, \in, \Phi, \mathcal{P})$  and  $\xi \in X_1 \cap X_2$ , then  $(X_1, \in, \Phi, \mathcal{P}_{\leq\xi})$  and  $(X_2, \in, \Phi, \mathcal{P}_{\leq\xi})$  are isomorphic elementary substructures of  $(H_{\omega_2}, \in, \Phi, \mathcal{P}_{\leq\xi})$ .

**Lemma.** (Induced structure) Let  $X_1, X_2, Y \in \mathcal{M}^*$ . Let  $\xi \in X_1 \cap X_2 \cap \alpha$ . Let  $(X_1, \in, \Phi, \mathcal{P}_{\leq\xi})$ ,  $(X_2, \in, \Phi, \mathcal{P}_{\leq\xi})$ , and  $(Y, \in, \Phi, \mathcal{P}_{\leq\xi})$  be all elementary substructures of  $(H_{\omega_2}, \in, \Phi, \mathcal{P}_{\leq\xi})$ . Let

$$\phi : (X_1, \in, \Phi, \mathcal{P}_{\leq\xi}) \longrightarrow (X_2, \in, \Phi, \mathcal{P}_{\leq\xi})$$

be the isomorphism with  $\phi(\xi) = \xi$  and  $Y \in X_1$ . Then

- (1)  $(Y, \in, \Phi, \mathcal{P}_{\leq\xi}) \in X_1$ .
- (2)  $\phi((Y, \in, \Phi, \mathcal{P}_{\leq\xi})) = (\phi(Y), \in, \Phi, \mathcal{P}_{\leq\xi})$ .
- (3)  $\phi(Y) \in \mathcal{M}^*$ .
- (4) The induced copy  $(\phi(Y), \in, \Phi, \mathcal{P}_{\leq\xi})$  forms an elementary substructure of  $(H_{\omega_2}, \in, \Phi, \mathcal{P}_{\leq\xi})$ .

(5)  $(Y, \in, \Phi, \mathcal{P}_{\leq \xi})$  and  $(\phi(Y), \in, \Phi, \mathcal{P}_{\leq \xi})$  are isomorphic by the restriction of  $\phi$ .

### The Basic Poset $P_{BASE}$

**Notation.** We say  $S$  is a *relation* from  $A$  to  $B$ , if  $S \subseteq A \times B$ . We write  $aSb$  to mean  $(a, b) \in S$ . Let  $x$  be *any*, we denote  $S(x)$  to mean the range  $\{b \mid xSb\}$ . Hence if  $x \notin A$ , then  $S(x) = \emptyset$ . Let  $C$  be a subset of  $B$ , we denote  $aSC$  to mean that  $C \subseteq S(a)$ .

**Definition.** Let  $p = (\mathcal{N}^p, S^p, A^p) = (\mathcal{N}, S, A) \in P_{BASE}$ , if

- (1)  $\mathcal{N}^p \in P_{FAM}$ .
- (2)  $S^p$  is a relation from  $\mathcal{N}^p$  to  $\omega_2$  such that for all  $Y \in \mathcal{N}^p$ ,  $S^p(Y) \subseteq Y \cap \omega_2$ .
- (3)  $A^p$  is a *finite* relation from  $\omega_2$  to  $\omega_1$ .

According to our notational convention, we write  $\xi A^p t$  for  $(\xi, t) \in A^p$ . We also write  $A^p(\xi)$  to mean  $\{t < \omega_1 \mid \xi A^p t\}$ . Hence

$$A^p = \bigcup \{ \{\xi\} \times A^p(\xi) \mid \xi \in \text{dom}(A^p) \}.$$

It is clear that

$$P_{BASE} \subset H_{\omega_2}.$$

Let  $p = (\mathcal{N}, S, A) \in P_{BASE}$  and  $\alpha < \omega_2$ . We define the usual restriction  $p \upharpoonright \alpha = (\mathcal{N}, S, A) \upharpoonright \alpha = (\mathcal{N}, S \upharpoonright \alpha, A \upharpoonright \alpha)$ , where

$$S \upharpoonright \alpha = S \cap (\mathcal{N} \times \alpha),$$

$$A \upharpoonright \alpha = A \cap (\alpha \times \omega_1).$$

Hence  $S \upharpoonright \alpha$  is a relation from  $\mathcal{N}$  to  $\alpha$  and  $A \upharpoonright \alpha$  is a finite relation from  $\alpha$  to  $\omega_1$  such that

- For any  $Y$ , we have  $S^p \upharpoonright \alpha(Y) = S^p(Y) \cap \alpha$ .
- For any  $\xi < \alpha$ , we have  $A^p \upharpoonright \alpha(\xi) = A^p(\xi)$ .

If  $\alpha_1 \leq \alpha_2 < \omega_2$ , then

$$((\mathcal{N}, S, A) \upharpoonright \alpha_2) \upharpoonright \alpha_1 = (\mathcal{N}, S, A) \upharpoonright \alpha_1.$$

For  $p, q \in P_{BASE}$ , let  $q \leq p$ , if  $\mathcal{N}^q \supseteq \mathcal{N}^p$ ,  $S^q \supseteq S^p$ , and  $A^q \supseteq A^p$ .

We construct a  $\subseteq_{\text{reg}}$ -increasing sequence  $\langle P_\alpha \mid \alpha < \omega_2 \rangle$  of subposets of  $P_{BASE}$ .

**Remark.** If you are sort of familiar with the markers of Aspero-Mota, what we roughly intend is the following.

- If  $YS^p\eta$ , then  $Y$  is well-closed with respect to  $P_\eta$  and  $p \upharpoonright \eta$  is  $(P_\eta, Y)$ -g.
- If  $YS^p\eta$  and  $Y$  is well-closed with respect to  $P_{\eta+1}$ , then  $p \upharpoonright (\eta+1)$  is  $(P_{\eta+1}, Y)$ -g.
- $S^p(Y)$  is an initial segment of  $Y \cap \omega_2$ .
- $Y \Delta^p \beta$  iff  $YS^p(Y \cap \beta)$ , though we do not introduce the *finite* relation  $\Delta^p$  of Aspero-Mota.
- If  $YS^p(Y \cap \eta)$  and  $Y$  is well-closed with respect to  $P_\eta$ , then  $p \upharpoonright \eta$  is  $(P_\eta, Y)$ -g.
- $S^p$  is usually an infinite relation. But  $\{(Y, S^p(Y)) \mid Y \in \mathcal{N}^p\}$  is a finite set that discerns  $S^p$ .

Hence we prepared the predicate  $S^p$  to argue things point-wise, namely, we worry  $YS^p\eta$  or not.

$$\begin{array}{ccccc}
 YS^p(\eta+1) & \implies & YS^p\eta + Y \prec P_{\eta+1} & \implies & (P_{\eta+1}, Y) - g \\
 & & \Downarrow & & \Downarrow \\
 Y \Delta^p(\eta+1) + \eta \in Y & \iff & YS^p\eta & \implies & YS^p(Y \cap \eta) + Y \prec P_\eta \implies (P_\eta, Y) - g
 \end{array}$$

We prepare a sort of second-order treatment of forcing posets that has a right chain condition. In the following, we may think of  $\kappa = \omega_2$ . We stick to the only universe  $H_\kappa$  and prepare a variety of unary predicates on it, resulting a variety of clubs in  $[H_\kappa]^\omega$ .

**Definition.** Let  $\kappa$  be a regular uncountable cardinal. Let  $(P, \leq_P)$  be a poset such that  $P \subset H_\kappa$  and  $P$  has the  $\kappa$ -cc. We consider a relational structure

$$(H_\kappa, \in, P, \leq_P, R_\subseteq^P, R_\subseteq^P, H_\kappa^P, \dots),$$

where

- $R_\subseteq^P = \{(p, \tau, \pi) \in (P \times V^P \times V^P) \cap H_\kappa \mid p \Vdash_P \text{"}\tau = \pi\text{"}\},$
- $R_\subseteq^P = \{(p, \tau, \pi) \in (P \times V^P \times V^P) \cap H_\kappa \mid p \Vdash_P \text{"}\tau \in \pi\text{"}\},$
- $H_\kappa^P = V^P \cap H_\kappa.$

We are interested in countable elementary substructures

$$(X, \in \cap X^2, P \cap X, \leq_P \cap X^2, R_\subseteq^P \cap X^3, R_\subseteq^P \cap X^3, H_\kappa^P \cap X, \dots)$$

of

$$(H_\kappa, \in, P, \leq_P, R_\subseteq^P, R_\subseteq^P, H_\kappa^P, \dots).$$

**Lemma.** Let

$$(X, \in \cap X^2, P \cap X, \leq_P \cap X^2, R_\subseteq^P \cap X^3, R_\subseteq^P \cap X^3, H_\kappa^P \cap X, \dots)$$

be a countable elementary substructure of

$$(H_\kappa, \in, P, \leq_P, R_\subseteq^P, R_\subseteq^P, H_\kappa^P, \dots).$$

Let  $G$  be  $P$ -generic over the ground model  $V$ . Then in  $V[G]$ , we have

$$(X[G], \in \cap X[G]^2, H_\kappa^V \cap X[G], G \cap X[G], P \cap X[G], \leq_P \cap X[G]^2, R_\subseteq^P \cap X[G]^3, R_\subseteq^P \cap X[G]^3, H_\kappa^P \cap X[G], \dots)$$

is a countable elementary substructure of

$$(H_\kappa^{V[G]}, \in, H_\kappa^V, G, P, \leq_P, R_\subseteq^P, R_\subseteq^P, H_\kappa^P, \dots).$$

**Definition.** Let  $P$  be a poset such that  $P \subset H_\kappa$  and  $P$  has the  $\kappa$ -cc. Let

$$X \prec (H_\kappa, \in, P, \leq_P, R_\subseteq^P, R_\subseteq^P, H_\kappa^P).$$

We define that  $p \in P$  is  $(P, X)$ -generic, if for each predense subset  $D \in X$  of  $P$ ,  $D \cap X$  is predense below  $p$  in  $P$ . Hence, we use maximal antichains rather than dense subsets that would be too large to belong to  $H_\kappa$ .

**Lemma.** Let  $P$  be a poset such that  $P \subset H_\kappa$  and  $P$  has the  $\kappa$ -cc. Let

$$X \prec (H_\kappa, \in, P, \leq_P, R_\subseteq^P, R_\subseteq^P, H_\kappa^P)$$

and  $p \in P$ . The following are equivalent

- (1)  $p$  is  $(P, X)$ -generic.
- (2) For any maximal antichain  $A \in X$  of  $P$ ,  $A \cap X$  is predense below  $p$  in  $P$ .
- (3)  $p \Vdash_P \text{"}X[\dot{G}] \cap H_\kappa^V = X\text{"}$ .
- (4)  $p \Vdash_P \text{"}X[\dot{G}] \cap \kappa = X \cap \kappa\text{"}$ .

**Definition.** Let  $T^*$  be weakly Suslin witnessed at  $\kappa$ . Let  $P$  be a poset such that  $P \subset H_\kappa$  and  $P$  has the  $\kappa$ -cc. We say  $P$  is  $T^*$ -preserving, if there exists a club many  $X$  such that if  $X \in \mathcal{M}^*$  and  $p \in P \cap X$ , then there exists  $q \leq p$  in  $P$  such that

- $q$  is  $(P, X)$ -generic,
- For any  $x \in T_{X \cap \omega_1}^*$ , if  $x$  pushdown  $X$ , then  $q \Vdash_P "x \text{ pushdown } X[\dot{G}]"$ .

**Lemma.** Let  $T^*$  be weakly Suslin witnessed at  $\kappa$ . Let  $P$  be  $T^*$ -preserving. Then  $1 \Vdash_P "T^*$  is weakly Suslin witnessed at  $\kappa"$ .

### Iteration

**Definition.** Let  $\Phi : \omega_2 \longrightarrow H_{\omega_2}$  be an onto map such that for each  $a \in H_{\omega_2}$ ,  $\{\xi < \omega_2 \mid \Phi(\xi) = a\}$  is cofinal in  $\omega_2$ . We may assume that

$$T^* = \Phi(0).$$

We construct a sequence of posets  $\langle P_\alpha \mid \alpha < \omega_2 \rangle$  by recursion on  $\alpha < \omega_2$ . Let us assume that  $\alpha < \omega_2$  and we have constructed  $\langle P_\xi \mid \xi < \alpha \rangle$  together with  $\langle \langle \dot{K}_0^\xi, \dot{K}_1^\xi \mid \xi < \alpha \rangle$  and  $\langle M_\xi \mid \xi < \alpha \rangle$ . Let us identify the finitely many sequences of subsets of  $H_{\omega_2}$ .

$$\mathcal{P} = \langle \langle P_i \mid i < \alpha \rangle \rangle,$$

$$\mathcal{K}_0 = \langle \langle \dot{K}_0^i \mid i < \alpha \rangle \rangle,$$

$$\mathcal{K}_1 = \langle \langle \dot{K}_1^i \mid i < \alpha \rangle \rangle,$$

$$\mathcal{R}_\equiv^P = \langle \langle R_\equiv^i \mid i < \alpha \rangle \rangle,$$

$$\mathcal{R}_\epsilon^P = \langle \langle R_\epsilon^i \mid i < \alpha \rangle \rangle,$$

$$\mathcal{H}^P = \langle \langle H_{\omega_2}^i \mid i < \alpha \rangle \rangle,$$

$$\mathcal{M} = \langle \langle M_i \mid i < \alpha \rangle \rangle.$$

We begin to state induction hypothesis, where we suppress mentioning the sequences except  $\mathcal{P}$  and  $\mathcal{M}$ , as it is tidy. We write  $X \prec P_\xi$  for

$$X \prec (H_{\omega_2}, \in, \Phi, P_\xi, R_\equiv^P, R_\epsilon^P, H_{\omega_2}^P).$$

We also write  $X \prec (\mathcal{P}_{\leq \xi}, \mathcal{M}_{\leq \xi})$  for

$$X \prec (H_{\omega_2}, \in, \Phi, \mathcal{P}_{\leq \xi}, \mathcal{M}_{\leq \xi}).$$

We assume recursively that for each  $\xi < \alpha$

- $P_\xi \subset \{p \in P_{BASE} \mid p[\xi = p] \subset H_{\omega_2} \text{ and (CH) } P_\xi \text{ has the } \omega_2\text{-cc,}$
- $\Vdash_{P_\xi} "\dot{K}_0^\xi \dot{\cup} \dot{K}_1^\xi \text{ is a partition of } [\omega_1]^2 \text{ that is } R_{1, \aleph_1}"$ .
- If  $\Phi(\xi)$  is a  $P_\xi$ -name, then  $\Vdash_{P_\xi} "$ if  $\Phi(\xi)$  is an Aronszajn tree, then  $\dot{K}_0^\xi \dot{\cup} \dot{K}_1^\xi$  is the induced partition".
- $M_\xi = \{X \in \mathcal{M}^* \mid (1) X \prec P_\xi; (2) \text{ For all } \eta \in X \cap \xi, X \prec (\mathcal{P}_{\leq \eta}, \mathcal{M}_{\leq \eta})\}$

Let  $p = (\mathcal{N}^p, S^p, A^p) = (\mathcal{N}, S, A) \in P_\alpha$ , if

(ob) •  $\mathcal{N} \in P_{FAM}$ .

•  $S$  is a relation from  $\mathcal{N}$  to  $\alpha$  such that for all  $Y \in \mathcal{N}$ ,  $S(Y)$  are initial segments of  $Y \cap \alpha$ .

•  $A$  is a finite relation from  $\alpha$  to  $\omega_1$ .

(el) If  $Y S \eta$ , then  $(Y)_{\leq \eta} \downarrow$ , this abbreviates



$$Y \prec (\mathcal{P}_{\leq \eta}, \mathcal{M}_{\leq \eta}).$$

(ho) If  $Y_1 S \eta =_{\omega_1} Y_2 S \eta$ , then  $(Y_1)_{\leq \eta} \sim (Y_2)_{\leq \eta}$ , this abbreviates

$$(Y_1, \in, \Phi, \mathcal{P}_{\leq \eta}, \mathcal{M}_{\leq \eta}) \sim (Y_2, \in, \Phi, \mathcal{P}_{\leq \eta}, \mathcal{M}_{\leq \eta}).$$

(up) If  $Y_2 S \eta, Y_3 S \eta, Y_3 <_{\omega_1} Y_2$ , then there is  $Y_1 \in \mathcal{N}$  such that  $Y_3 \in Y_1 =_{\omega_1} Y_2$  and  $Y_1 S \eta$ .

(down) If  $Y_1 S \eta =_{\omega_1} Y_2 S \eta, Y_3 S \eta$ , and  $Y_3 \in Y_1$ , then  $\phi_{Y_1 Y_2}(Y_3) S \eta$ .

(\*) If  $p \restriction \xi \in P_\xi$ , then  $p \restriction \xi \Vdash_{P_\xi} "A(\xi) \text{ is } \dot{K}_0^\xi\text{-homo}"$ .

(g) If  $\xi A t$  and  $Y S \xi$ , then either

- $t <_{\omega_1} Y$ , or
- There exists  $Z$  such that  $S(Z) \supseteq Z \cap \xi, Z \prec (\mathcal{P}_{\leq \xi}, \mathcal{M}_{\leq \xi})$ , and  $Y \in Z \leq_{\omega_1} t$ .

For  $p, q \in P_\alpha$ , set  $q \leq p$  in  $P_\alpha$ , if  $\mathcal{N}^q \supseteq \mathcal{N}^p, S^q \supseteq S^p$ , and  $A^q \supseteq A^p$ .

Hence  $P_\alpha$  is a suborder of  $P_{BASE}$ .

**Lemma.** (The restrictions) Let  $p \in P_\alpha$  and  $\rho < \alpha$ . Then  $p \restriction \rho \in P_\rho$ .

**Lemma.** (The projection) Let  $\rho < \alpha$ . The map  $P_\alpha \longrightarrow P_\rho$  defined by  $p \mapsto p \restriction \rho$  is a projection in the following sense.

- (1) If  $p, q \in P_\alpha$  with  $q \leq p$  in  $P_\alpha$ , then  $q \restriction \rho \leq p \restriction \rho$  in  $P_\rho$ .
- (2) If  $h \in P_\rho$  with  $h \leq p \restriction \rho$ , then  $h^+ = (\mathcal{N}^h, S^h \cup S^p, A^h \cup A^p) \in P_\alpha$  such that  $h^+ \restriction \rho = h$  and  $h^+ \leq p$  in  $P_\alpha$ .

**Lemma.** (Complete suborders) Let  $\rho < \alpha$ . Then

- (1)  $P_\rho$  is a suborder of  $P_\alpha$ .
- (2) For  $p, q \in P_\rho$ ,  $p$  and  $q$  are incompatible in  $P_\rho$  iff in  $P_\alpha$ .
- (3) Any maximal antichain  $A$  in  $P_\rho$  remains in  $P_\alpha$ .
- (4)  $p \leq p \restriction \rho$  in  $P_\alpha$ .
- (5) If  $G_\alpha$  is  $P_\alpha$ -generic over  $V$ , then

$$G_\alpha \cap P_\rho = G_\alpha \restriction \rho = \{g \restriction \rho \mid g \in G_\alpha\}$$

is  $P_\rho$ -generic over  $V$ .

**Lemma.** (1)  $P_\alpha \subset \{p \in P_{BASE} \mid p \restriction \alpha = p\} \subset H_{\omega_2}$ .

- (2) (CH)  $P_\alpha$  has the  $\omega_2$ -cc.

Here is the main lemma proved by induction on  $\alpha < \omega_2$ .

**Lemma.** (MAIN) Let  $p \in P_\alpha$  and  $X$  be such that

- (1)  $X \prec (\mathcal{P}_{\leq \alpha}, \mathcal{M}_{\leq \alpha})$ ,
- (2)  $X \cap \alpha = S^p(X)$ .

Then  $p$  is  $(P_\alpha, X)$ -gg. Namely,

- (1)  $p$  is  $(P_\alpha, X)$ -g,
- (2) If  $x \in T_{X \cap \omega_1}^*$  with  $x$  pushdown  $X$ , then  $p \Vdash_{P_\alpha} "x \text{ pushdown } X[\dot{G}_\alpha]"$ .

**Lemma.** (Start) Let  $\alpha < \omega_2$  and  $p \in P_\alpha$ . Let

- (1)  $p \in X \prec (\mathcal{P}_{\leq \alpha}, \mathcal{M}_{\leq \alpha})$ ,

Then there exists  $q \in P_\alpha$  such that  $q \leq p$  and that  $q$  satisfies the assumption of lemma (main).

In the forcing construction, it suffices to deal with those Aronszajn trees  $T$  such that

- (1)  $T$  has a single root.  
 (2) Every node of  $T$  has infinitely many successors on every higher level of  $T$ .

In particular, for every finite  $K_0$ -homogeneous set (namely, antichain)  $A$  with respect to  $T$ , we have  $\{t \in T \mid A \cup \{t\} \text{ is } K_0\text{-homogeneous}\}$  is uncountable. Details based on [K].

**Lemma.** (Add Domain, Add a new Element) Let  $p \in P_{\alpha+1}$ . Let  $Z \prec (\mathcal{P}_{\leq \alpha}, \mathcal{M}_{\leq \alpha})$  such that  $p \in Z$ . Then there exists  $(h^+, t)$  such that

- (1)  $h^+ \in P_{\alpha+1}$ ,  
 (2)  $h^+ \leq p$  in  $P_{\alpha+1}$ ,  
 (3)  $Z \in \mathcal{N}^{h^+}$ ,  
 (4)  $Z <_{\omega_1} t$ ,  
 (5)  $A^{h^+}(\alpha) = A^p(\alpha) \cup \{t\}$ .

**Lemma.** ( $\alpha + 1 \models \text{Ext}$ ) Let  $X \prec (\mathcal{P}_{\leq \alpha+1}, \mathcal{M}_{\leq \alpha+1})$ ,  $p \in P_{\alpha+1}$ ,  $X S^p \alpha$ , and  $\alpha \in \text{dom}(A^p)$ . Then there is  $(Z, S^*)$  such that

- (1)  $\mathcal{N}^p \cap X$ ,  $\text{rang}(A^p) \cap X <_{\omega_1} Z <_{\omega_1} X$ .  
 (2)  $S^* \subseteq Z \times \alpha$ .  
 (3)  $(\mathcal{N}^p \cup Z, S^p \cup S^*, A^p) \in P_{\alpha+1}$ .  
 (4) If  $Y <_{\omega_1} X$  and  $Y S^p \alpha$ , then there is  $(Z, X')$  such that
- $Z \in Z$ ,  $S^*(Z) = Z \cap \alpha$ , and  $Z \prec (\mathcal{P}_{\leq \alpha}, \mathcal{M}_{\leq \alpha})$ .
  - $X' S^p \alpha$ , and  $Y \in Z \in X' =_{\omega_1} X$ .

*Proof of main lemma out-lined. Details in use with  $R_{1, \aleph_1}$  provided along [Y].*

By induction on  $\alpha < \omega_2$ . Let  $p \in P_\alpha$ ,  $S^p(X) = X \cap \alpha$ , and  $X \prec (\mathcal{P}_{\leq \alpha}, \mathcal{M}_{\leq \alpha})$ .

**Case 0.0.**  $\alpha = 0$  and want  $p$  is  $(P_0, X)$ -g.

Recall  $p \in P_0$  iff  $p = (\mathcal{N}^p, \emptyset, \emptyset)$  and  $\mathcal{N}^p \in P_{FAM}$ .

We have  $q \leq p$  iff  $\mathcal{N}^q \supseteq \mathcal{N}^p$ . Hence,  $P_0$  and  $P_{FAM}$  are isomorphic.

Let  $D \in X$  be a predense subset of  $P_0$ ,  $q \leq p$ ,  $q \leq d$ , and  $d \in D$ .

Get  $q'$  and  $d'$  such that

- $q' \in P_0 \cap X$ ,  $q' \leq d'$  in  $P_0$ , and  $d' \in D \cap X$ ,
- $\mathcal{N}^{q'} \supseteq \mathcal{N}^q \cap X$ .

Let  $h^+ \in P_0$  such that  $\mathcal{N}^{h^+} \supset \mathcal{N}^q \cup \mathcal{N}^{q'}$ . Then  $h^+ \leq q$ ,  $h^+ \leq d'$ , and  $d' \in D \cap X$ .

**Case 0.1.**  $\alpha = 0$  and want  $p$  is  $(P_0, X)$ -gg.

Let  $x \in T_{X \cap \omega_1}^*$  and  $x$  pushdown  $X$ . Let  $\dot{A} \in X$  be a  $P_0$ -name. Let  $q \leq p$  and  $q \Vdash_{P_0} "x \in \dot{A}"$ .

Get  $q'$  and  $y <_{T^*} x$  such that

- $q' \in P_0 \cap X$ ,
- $q' \Vdash_{P_0} "y \in \dot{A}"$ ,

- $\mathcal{N}^{q'} \supseteq \mathcal{N}^q \cap X$ .

Let  $h^+ \in P_0$  such that  $\mathcal{N}^{h^+} \supset \mathcal{N}^q \cup \mathcal{N}^{q'}$ . Then  $h^+ \leq q$  and  $h^+ \Vdash_{P_0} "y \in \dot{A}"$ .

**Case 1.0.**  $\text{suc}(\alpha = \alpha + 1)$  and want  $p$  is  $(P_{\alpha+1}, X)$ -g.

Let  $D \in X$  be a predense subset of  $P_{\alpha+1}$ ,  $q \leq p$ ,  $q \leq d$ ,  $d \in D$ , and  $\alpha \in \text{dom}(A^q)$ . We may assume that  $A^q(\alpha) \not\subseteq X$  by lemma (add domain, add a new element) and that  $q$  is as in lemma (ext).

Get  $q'$  and  $d'$  such that

- $q' \in P_{\alpha+1} \cap X$ ,  $q' \leq d' \in D \cap X$ ,
- $\alpha \in \text{dom}(A^{q'})$  and  $A^{q'}(\alpha) \supset_{\text{end}} (A^q(\alpha) \cap X)$ ,
- $S^{q'}(Y) \subseteq Y \cap \alpha$  or  $S^{q'}(Y) = Y \cap (\alpha + 1)$ , (not essential)
- If  $Y \in X \cap \mathcal{N}^q$ , then  $S^q(Y) = S^{q'}(Y)$ ,
- $h \in P_\alpha$ ,
- $h \leq q \restriction \alpha, q' \restriction \alpha$ ,
- $h \Vdash_{P_\alpha} "(A^q(\alpha) \setminus X) \cup (A^{q'}(\alpha) \setminus (A^q(\alpha) \cap X)) \text{ is } \dot{K}_0^\alpha\text{-homo}"$ .

Let

$$h^+ = (\mathcal{N}^h, S^h \cup S^q \cup S^{q'} \cup S^+, A^h \cup A^q \cup A^{q'}).$$

Then  $h^+ \in P_{\alpha+1}$  and  $h^+ \leq q, q'$ .

Here for  $Y \in \mathcal{N}^h$  and  $\eta = \alpha \in [\alpha, \alpha + 1) \cap Y$ , we set  $YS^+\alpha$ , whenever there exists  $(X', W)$  such that  $X = {}_{\omega_1} X' \in \mathcal{N}^q$ ,  $X'S^q\alpha$ ,  $W \in X$ ,  $WS^q\alpha$ , and  $Y = \phi_{XX'}(W)$ .

$$\begin{array}{ccc} XS^q\alpha & \sim & X'S^q\alpha \\ | & & | \\ WS^{q'}\alpha & \sim & YS^+\alpha \geq \alpha \end{array}$$

Here we may think of that  $\rho = \alpha$  and  $\alpha + 1 = (\alpha + 1)_X = \text{ssup}(X \cap (\alpha + 1))$ , in view of later cases.

**Case 1.1.**  $\text{suc}(\alpha = \alpha + 1)$  and want  $p$  is  $(P_{\alpha+1}, X)$ -gg.

Let  $x \in T_{X \cap \omega_1}^*$  and  $x$  pushdown  $X$ . Let  $\dot{A} \in X$  be a  $P_{\alpha+1}$ -name. Let  $q \leq p$ ,  $q \Vdash_{P_{\alpha+1}} "x \in \dot{A}"$ , and  $\alpha \in \text{dom}(A^q)$ . We may assume that  $A^q(\alpha) \not\subseteq X$  by lemma (add domain, add a new element) and that  $q$  is as in lemma (ext).

Get  $q'$  and  $y <_{T^*} x$  such that

- $q' \in P_{\alpha+1} \cap X$  and  $q' \Vdash_{P_{\alpha+1}} "y \in \dot{A}"$
- $\alpha \in \text{dom}(A^{q'})$  and  $A^{q'}(\alpha) \supset_{\text{end}} (A^q(\alpha) \cap X)$ ,
- $S^{q'}(Y) \subseteq Y \cap \alpha$  or  $S^{q'}(Y) = Y \cap (\alpha + 1)$ , (not essential)
- If  $Y \in X \cap \mathcal{N}^q$ , then  $S^q(Y) = S^{q'}(Y)$ ,
- $h \in P_\alpha$ ,
- $h \leq q \restriction \alpha, q' \restriction \alpha$ ,
- $h \Vdash_{P_\alpha} "(A^q(\alpha) \setminus X) \cup (A^{q'}(\alpha) \setminus (A^q(\alpha) \cap X)) \text{ is } \dot{K}_0^\alpha\text{-homo}"$ .

Let

$$h^+ = (\mathcal{N}^h, S^h \cup S^q \cup S^{q'} \cup S^+, A^h \cup A^q \cup A^{q'}).$$

Then  $h^+ \in P_{\alpha+1}$  and  $h^+ \leq q, q'$ .

**Case 2.0.**  $\text{cf}(\alpha) = \omega$  and want  $p$  is  $(P_\alpha, X)$ -g.

Let  $D \in X$  be a predense subset of  $P_\alpha$ ,  $q \leq p$ ,  $q \leq d$ , and  $d \in D$ .

Let  $\rho$  be an ordinal such that

- $\rho \in X \cap \alpha$ .
- $\text{dom}(A^q) \subset \rho$ .
- If  $S^q(Y)$  is bounded below  $\alpha$ , then  $S^q(Y) \subset \rho$ .

Get  $q'$ ,  $d'$ , and  $h$  such that

- $q' \in P_\alpha \cap X$ ,  $d' \in D \cap X$ , and  $q' \leq d'$  in  $P_\alpha$ ,
- $\text{dom}(A^{q'}) \subset \rho$ ,
- $S^{q'}(Y) \subset Y \cap \rho$  or  $S^{q'}(Y) = Y \cap \alpha$ , (not essential)
- If  $Y \in X \cap \mathcal{N}^q$ , then  $S^q(Y) = S^{q'}(Y)$ ,
- $h \in P_\rho$ ,
- $h \leq q \restriction \rho, q' \restriction \rho$ .

Let

$$h^+ = (\mathcal{N}^h, S^h \cup S^q \cup S^{q'} \cup S^+, A^h).$$

Then  $h^+ \in P_\alpha$  and  $h^+ \leq q, q'$  in  $P_\alpha$ .

Here for  $Y \in \mathcal{N}^h$  and  $\eta \in [\rho, \alpha) \cap Y$ , we set  $YS^+\eta$ , whenever there exists  $(X', W)$  such that  $X =_{\omega_1} X' \in \mathcal{N}^q$ ,  $W \in X$ ,  $\rho \leq \eta \in W$ ,  $X'S^q\eta$ ,  $WS^{q'}\eta$ , and  $\phi_{XX'}(W) = Y$ .

$$\begin{array}{ccc} XS^q\eta & \sim & X'S^q\eta \\ \downarrow & & \downarrow \\ WS^{q'}\eta & \sim & YS^+\eta \geq \rho \end{array}$$

If this is the case, then we have  $X \cap \alpha = X' \cap \alpha$  and even  $\alpha \in X \cap X'$ . This is because,  $S^q(X') \cap \alpha$  is cofinal below  $\alpha$  and so, by  $(\text{fa})_{\omega_2}$ ,  $X \cap \alpha = X' \cap \alpha$ . Since  $\text{cf}(\alpha) = \omega$ , we then even have  $\phi_{XX'}(\alpha) = \alpha \in X'$ .

**Case 2.1.**  $\text{cf}(\alpha) = \omega$  and want  $p$  is  $(P_\alpha, X)$ -gg.

Let  $x \in T_{X \cap \omega_1}^*$  and  $x$  pushdown  $X$ . Let  $\dot{A} \in X$  be a  $P_\alpha$ -name. Let  $q \leq p$  and  $q \Vdash_{P_\alpha} "x \in \dot{A}"$ .

Let  $\rho$  be an ordinal such that

- $\rho \in X \cap \alpha$ .
- $\text{dom}(A^q) \subset \rho$ .
- If  $S^q(Y)$  is bounded below  $\alpha$ , then  $S^q(Y) \subset \rho$ .

Get  $q'$  and  $y <_{T^*} x$  such that

- $q' \in P_\alpha \cap X$  and  $q' \Vdash_{P_\alpha} "y \in \dot{A}"$ ,
- $\text{dom}(A^{q'}) \subset \rho$ ,
- $S^{q'}(Y) \subset Y \cap \rho$  or  $S^{q'}(Y) = Y \cap \alpha$ , (not essential)
- If  $Y \in X \cap \mathcal{N}^q$ , then  $S^q(Y) = S^{q'}(Y)$ ,
- $h \in P_\rho$ ,
- $h \leq q \restriction \rho, q' \restriction \rho$ .

Let

$$h^+ = (\mathcal{N}^h, S^h \cup S^q \cup S^{q'} \cup S^+, A^h).$$

Then  $h^+ \in P_\alpha$  and  $h^+ \leq q, q'$  in  $P_\alpha$ .

**Case 3.0.**  $\text{cf}(\alpha) \geq \omega_1$  and want  $p$  is  $(P_\alpha, X)$ -g.

Let  $D \in X$  be a predense subset of  $P_\alpha$ ,  $q \leq p$ ,  $q \leq d$ , and  $d \in D$ .

Let  $\rho$  be an ordinal such that

- $\rho \in \alpha \cap X$ ,
- $\text{dom}(A^q) \cap \sup(X \cap \alpha) \subset \rho$ ,
- If  $S^q(Y) \cap \sup(X \cap \alpha)$  is bounded below  $\sup(X \cap \alpha)$ , then  $S^q(Y) \cap \sup(X \cap \alpha) \subset \rho$ ,
- If  $Y \in \mathcal{N}^q$  and  $Y <_{\omega_1} X$ , then  $Y \cap X \cap \alpha \subset \rho$ .

Get  $q'$  and  $d'$  such that

- $q' \in P_\alpha \cap X$ ,  $d' \in D \cap X$ , and  $q' \leq d'$  in  $P_\alpha$ ,
- If  $Y \in X \cap \mathcal{N}^q$ , then  $S^q(Y) = S^{q'}(Y)$ ,
- $h \in P_\rho$ ,

- $h \leq q \upharpoonright \rho, q' \upharpoonright \rho$ .

Let

$$h^+ = (\mathcal{N}^h, S^h \cup S^q \cup S^{q'} \cup S^+, A^h \cup A^q \cup A^{q'}).$$

Then  $h^+ \in P_\alpha$  and  $h^+ \leq q, q'$  in  $P_\alpha$ .

Here for  $Y \in \mathcal{N}^h$  and  $\eta \in [\rho, \alpha_X) \cap Y$ ,  $\alpha_X = \sup(X \cap \alpha)$ , we set  $YS^+\eta$ , whenever there exists  $(X', W)$  such that  $X =_{\omega_1} X' \in \mathcal{N}^q$ ,  $W \in X$ ,  $\rho \leq \eta \in W$ ,  $X'S^q\eta$ , and  $\phi_{XX'}(W) = Y$ .

$$\begin{array}{ccc} XS^q\eta & \sim & X'S^q\eta \\ | & & | \\ WS^{q'}\eta & \sim & YS^+\eta \geq \rho \end{array}$$

If this is the case, then we have  $X \cap \alpha_X = X' \cap \alpha_X$ .

**Case 3.1.**  $\text{cf}(\alpha) \geq \omega_1$  and want  $p$  is  $(P_\alpha, X)$ -gg.

Let  $x \in T_{X \cap \omega_1}^*$  and  $x$  pushdown  $X$ . Let  $\dot{A} \in X$  be a  $P_\alpha$ -name. Let  $q \leq p$  and  $q \Vdash_{P_\alpha} "x \in \dot{A}"$ .

Let  $\rho$  be an ordinal such that

- $\rho \in \alpha \cap X$ ,
- $\text{dom}(A^q) \cap \sup(X \cap \alpha) \subset \rho$ ,
- If  $S^q(Y) \cap \sup(X \cap \alpha)$  is bounded below  $\sup(X \cap \alpha)$ , then  $S^q(Y) \cap \sup(X \cap \alpha) \subset \rho$ ,
- If  $Y \in \mathcal{N}^q$  and  $Y <_{\omega_1} X$ , then  $Y \cap X \cap \alpha \subset \rho$ .

Get  $q'$  and  $y <_{T^*} x$  such that

- $q' \in P_\alpha \cap X$  and  $q' \Vdash_{P_\alpha} "y \in \dot{A}"$ ,
- If  $Y \in X \cap \mathcal{N}^q$ , then  $S^q(Y) = S^{q'}(Y)$ ,
- $h \in P_\rho$ ,
- $h \leq q \upharpoonright \rho, q' \upharpoonright \rho$ .

Let

$$h^+ = (\mathcal{N}^h, S^h \cup S^q \cup S^{q'} \cup S^+, A^h \cup A^q \cup A^{q'}).$$

Then  $h^+ \in P_\alpha$  and  $h^+ \leq q, q'$  in  $P_\alpha$ .

□

### The Final Stage $P_{\omega_2}^*$

We gave a uniform definition of the  $P_\alpha$ s and we did not define  $P_{\omega_2}$ . The reason was that if  $\alpha < \omega_2$  and  $Y \prec (H_{\omega_2}, \mathcal{P}_{\leq \alpha})$ , then  $\alpha \in Y$ , while  $\omega_2 \notin \omega_2$ . We did not want to argue  $P_\alpha$ s and  $P_{\omega_2}$  in the previous sections separately.

Now, we form the direct limit  $P_{\omega_2}^*$  of  $\langle P_\alpha \mid \alpha < \omega_2 \rangle$ . If we had defined  $P_{\omega_2}$  as in the  $P_\alpha$ s, then  $P_{\omega_2} = P_{\omega_2}^*$ . Hence we pay back here by somewhat repeating relevant facts.

**Definition.**  $P_{\omega_2}^* = \bigcup \{P_\alpha \mid \alpha < \omega_2\}$ . For  $p, q \in P_{\omega_2}^*$ , let  $q \leq p$  in  $P_{\omega_2}^*$ , if there exists  $\alpha < \omega_2$  such that  $p, q \in P_\alpha$  and  $q \leq p$  in  $P_\alpha$ .

The choices of  $\alpha$  are irrelevant and  $q \leq p$  in  $P_{\omega_2}^*$  iff  $q \leq p$  in  $P_{BASE}$  iff  $\mathcal{N}^q \supseteq \mathcal{N}^p$ ,  $S^q \supseteq S^p$ , and  $A^q \supseteq A^p$ .

**Lemma.** (1)  $P_{\omega_2}^* \subset P_{BASE} \subset H_{\omega_2}$ .

(2) For each  $\alpha < \omega_2$ ,  $P_\alpha$  is a complete suborder of  $P_{\omega_2}^*$ .

(3) For each  $\alpha < \omega_2$ , the map  $p \mapsto p \upharpoonright \alpha$  from  $P_{\omega_2}^*$  to  $P_\alpha$  is a projection.

(4) Let  $G$  be a  $P_{\omega_2}^*$ -generic filter over  $V$ . Then  $G \upharpoonright \alpha = \{g \upharpoonright \alpha \mid g \in G\}$  is  $P_\alpha$ -generic filter over  $V$  and we have

$$G \upharpoonright \alpha = G \cap P_\alpha.$$

(5) (CH)  $P_{\omega_2}^*$  has the  $\omega_2$ -cc.

**Lemma.** (1) Let  $p \in P_{\omega_2}^*$  and  $p \in X \prec (\mathcal{P}_{<\omega_2}, \mathcal{M}_{<\omega_2})$ . Then there exists  $q \in P_{\omega_2}^*$  such that  $q \leq p$  in  $P_{\omega_2}^*$  and  $X \cap \omega_2 = S^q(X)$ .

(2) Let  $X \prec (\mathcal{P}_{<\omega_2}, \mathcal{M}_{<\omega_2})$ . Let  $q \in P_{\omega_2}^*$  such that  $X \cap \omega_2 = S^q(X)$ . Then  $q$  is  $(P_{\omega_2}^*, X)$ -gg.

**Lemma.**  $\Vdash_{P_{\omega_2}^*} "T^*$  remains weakly Suslin and Aronszajn".

**Lemma.**  $\Vdash_{P_{\omega_2}^*}$  "For any Aronszajn tree  $T$ , there exists an uncountable antichain  $A \subset T$ ".

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